

## HVSA FY 21 Performance Payment Awards (PAT)

June 10, 2020

Programs may earn *additional payment awards* beyond their contract base payment, based on achieving any combination of the milestones described below and measured through the data system managed by Department of Health. **Each program is eligible for a maximum of performance award dollars in the year (totaling approximately 2.5% of the base contract budget).** The terms of earning the award are met once the program achieves the performance milestone, as documented through the data housed with DOH.

### Quarterly Home Visiting Enrollment Performance Milestone [Service Milestone]

**Milestone:** Program meets or exceeds enrollment of 90% of their Maximum Service Capacity (caseload) during the quarter

**Measure:** 
$$\frac{\text{Average of the number of families actively enrolled* on the last day of Month 1, Month 2 and Month 3 of the quarter}}{\text{Maximum Service Capacity (funded caseload)}}$$

**Award:** Based on contract base budget (across all funding sources) - Quarterly award is equivalent to \$250 or 0.125% of the Contractor's budget, whichever is greater.

Notes:

*\*The calculation of Active Enrollment Caseload will be limited to the number of prenatal families, and families with children up to 60 months of age.*

*Actively enrolled means participant received at least one visit in the prior 3 months; during the COVID pandemic, actively enrolled means participant received a visit (in any modality) or an encounter in the prior 3 months.*

*This award will be reported to Contractors quarterly to be invoiced after Quarter 2 (for Q1 and Q2) and Quarter 4 (for Q3 and Q4).*

### Family Retention Performance Milestone [Quality Milestone]

*Programs implementing the ParentChild+ model will use modified definitions for the milestones and measures for Family Retention Performance, defined below.*

**Milestone 1: Number of participants who remain engaged in the program for 12 months after enrollment**

**Measure:** Number of enrolled participants who have not exited and receive a visit between 30 days before and 30 days after the 1-year anniversary of their enrollment date. \*

**Award:** \$40 for each participant meeting the 12-month retention milestone above;

\$30 additional for each participant meeting the 12-month retention milestone above and reporting at least two of the two of the demographic characteristics related to early exits listed in the table below.

### Demographic Characteristics Related to Early Exits

*The characteristics listed below have been identified as related to early exits among the HVSA participants.*

- a. Teenage (parent is less than 20 years old), at enrollment
- b. Parent has less than high school education (among non-teen parents), at enrollment
- c. Homelessness (on the street or living in a group home or shelter), reported any time during service
- d. Participating on TANF, reported any time during service
- e. Not residing with a romantic partner (single, divorced, widowed), reported any time during service

#### **Milestone 2: Number of participants who remain engaged in the program for 18 months after enrollment during the contract year**

Measure: Number of enrolled participants who have not exited and receive a home visit between 30 days before and 30 days after the 18-month anniversary of their enrollment date. \*

#### Notes:

*\*During the Covid-19 pandemic, the retention measure will include the number of enrolled participants who received a home visit or an encounter between 30 days before and 30 days after the 1-year/18-month anniversary of their enrollment date.*

*For both the 12-month and 18-month retention milestones, if the post anniversary home visit occurs after June 30, the milestone will be calculated and awarded in Q1 of the subsequent fiscal year. If participant data is missing for the demographic characteristics related to early exits, no bonus will be calculated into the payment for that participant.*

*Contractors who submit their data to DOH monthly via data systems will receive notice of their performance semi-annually and may invoice after Quarter 2 (for 1 and Q2) and Quarter 4 (for Q3 and Q4); United Indians of All Tribes, who submits data to DOH quarterly, will receive notice of their performance annually and may invoice annually for all quarters after Quarter 4.*

## **Depression Screenings and Follow-up Performance Milestones Family Retention Performance Milestone [Quality Milestone]**

**Milestone 1: Completion of a depression screening for an enrolled primary caregiver using an approved, validated tool within 3 months postpartum (if enrolled prenatally) or 3 months after enrollment (if enrolled post-natally).**

Measure: Number of depression screenings performed during the year using the criteria above

Award: \$30 for each screening using the above criteria (with a cap limiting payments to the number of participants equal to the funded caseload)

**Milestone 2: Completion of a depression screening during their second year of participation for an enrolled primary caregiver using the same approved, validated tool.**

*This Depression Screening Milestone 2 is available to PAT and Portfolio programs only.*

Measure: Number of primary caregivers who receive a depression screening during their second year (12<sup>th</sup> to 24<sup>th</sup> month) of participation. Programs may receive this payment only once per participant.

Award: \$30 for each screening using the above criteria (with a cap limiting payments to the number of participants equal to the funded caseload)

**Milestone 3: Follow-Up with a referral to or connection with appropriate services for an enrolled primary caregiver who screened positive for depression.**

Measure: Number of participants who screened positive for depression who are referred to or connected with services during the contract year. Programs may receive this payment only once per participant over the period of their enrollment.

Award: \$50 for each referral using the above criteria (with a cap limiting payments to 35% of the funded caseload)

Notes:

Contractors who submit their data to DOH monthly via data systems will receive notice of their performance semi-annually and may invoice after Quarter 2 (for 1 and Q2) and Quarter 4 (for Q3 and Q4); United Indians of All Tribes, who submits data to DOH quarterly, will receive notice of their performance annually and may invoice annually for all quarters after Quarter 4.

**Caregiver Capacity/Interaction Milestones [Outcome Planning Milestone]**

*These 3 Outcome milestones are available to PAT and Portfolio Model programs only.*

**Milestone 1: Documented submitted input during Q1 and Q2 on coherence of program outcomes through structured parent engagement opportunities.**

Measure: Completion of engagement activity A or B, briefly described below, meeting minimum number of participants who submit documented feedback, the process determined by DCYF:

Engagement Opportunity Menu	Milestone/Measure
A. Caregiver focus group or group connections discussion <i>OR</i>	– Documentation of attendance and feedback submission from at least 3 participants from different families
B. Caregiver surveys	– Feedback submission from at least 10 participants from different families

*\* The definition of eligible focus group, connections discussion, and caregiver survey will be provided by DCYF. The topics and specific questions and acceptable feedback format will be provided by DCYF. Each contractor must work with DCYF to define their engagement activities to ensure alignment with the planning goals.*

Award: \$300 for obtaining input from participating caregivers attributable to the contractor via survey or focus group, with content to be determined by DCYF by September 1, 2020.

**Milestone 2: Submitted input from HVSA funded staff who deliver home visits during Q1 and Q2 (and Q3?) on coherence of precise outcomes and active ingredients/modes of action through structured engagement opportunities.**

Measure: Completion of engagement opportunity A or B, briefly described below, meeting minimum number of staff participants who submit documented feedback, the process determined by DCYF:

Engagement Opportunity Menu	Milestone/Measure
A. Webinar/focus group <i>or</i>	– Documentation of attendance and participation in the webinar/focus group and participation in webinar survey from at least 2 staff who deliver home visits
B. Home Visitor surveys	– Submission of a feedback survey from at least 2 staff who deliver home visits
* The definition and content of eligible webinar/focus group and survey modalities will be provided by DCYF. The topics and specific questions and acceptable feedback format will be provided by DCYF. Each contractor must work with DCYF to define their engagement activities to ensure alignment with the planning goals.	

Award: \$200 for submitting input from at least 2 home visitors via survey or focus group attributable to the contractor, with content and thresholds of participation to be determined by DCYF by August 1, 2020.

**Milestone 3: Pilot measurement tools among a subset of program participants during Q2 through Q4.**

Measure: Completion of all 3 of the following activities, outlined in the table below with specifics to be determined by DCYF:  
 1- Completion of home visitor training on use of the determined measurement tool; and  
 2- Documented pilot use of measurement tool with a minimum of 5 enrolled participants; and  
 3- Submission of feedback on use of the tool and assistance with practice guidelines and input on how to scale up through DCYF determined process (e/g/ survey response or focus group participation/feedback).

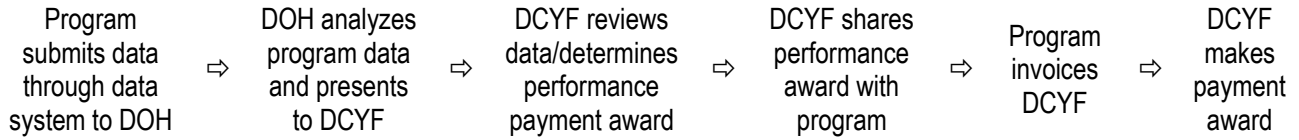
Award: \$750 per home visitor completing the training, implementing the measurement tool according to fidelity with a minimum of 5 families, and submitting feedback using the process to be developed by DCYF. A contractor may earn up to 2 awards for this milestone in the contract year

Notes:

*DCYF will develop surveys, focus group content, and feedback mechanisms for engagement opportunities by September 1, 2020. The intent of gathering input from caregivers (milestone 1) is to ensure coherence and shared understanding of the desired impact of program participation between the PBC outcome identified and program participants. The intent of gathering input from HVSA-funded home visitors and supervisors performing home visits (milestone 2) is to ensure coherence and shared understanding of the desired impact of the program and their active ingredients.*

*DCYF will identify the measurement tools, training options, piloting methods and mechanisms for feedback during Quarter 2 2020. Possible tools include, but not limited to, the Healthy Families Parenting Inventory and the PICCOLO.*

## Invoicing Process



PBC Milestones	Data Review	Payment
Enrollment	Quarterly	Q2/Q4
Family Retention	<b>Semi-Annually</b> for programs submitting monthly data ( <i>annually</i> for UIAT)	Q2/Q4 for programs submitting monthly data (Q4 for UIAT)
Caregiver Depression Screening and Follow-Up	<b>Semi-Annually</b> for programs submitting monthly data ( <i>annually</i> for UIAT)	Q2/Q4 for programs submitting monthly data (Q4 for UIAT)
Caregiver Capacity/Interaction Engagement Outcome Engagement and Piloting	<b>Semi-Annually</b>	Q2/Q4

## How and When Can the Award Funds Be Used by Programs?

The terms of earning the award are met once the program achieves the performance milestone, as documented through the data housed with DOH. While there is no time limit on expenditure of the award, programs must use the award funds received towards advancing the goals of the home visiting program as described in the contract.