

1. EARLY SUPPORT SERVICES PROVISION:

a. SCOPE OF WORK

The Subcontractor must ensure that the Early Support services and qualified personnel; and otherwise, all things reasonably necessary for, or incidental to, the performance of the work, as set forth in this Contract. The Subcontractor's responsibilities include, but are not limited to:

b. Early Support Services: The Contractor must ensure subcontractors:

Incorporate the following responsibilities and provisions in providing Early Support services to infants and toddlers with disabilities and their families when subcontracting with ESIT Provider Agencies and ESIT Service Providers that are located in the geographic boundaries of the CLA or ESIT Provider Agency.

c. Early Support Service Area: The Contractor must ensure subcontractors:

- (1) Respond to all referrals and provide all Early Support services for those children found eligible within the following Resident School District Catchment Areas: _____.
- (2) For each Resident School District Catchment Area located within more than one county, a Service Area Agreement that defines service area boundaries when more than one ESIT Provider Agency is serving a school district must be developed and reviewed annually.
- (3) The Service Area Agreement among the various ESIT Provider Agencies must ensure that the entire district has service area coverage, so no child goes unserved.
- (4) The service area agreement must be submitted to the CLA for review and approval within thirty (30) calendar days of the date of contract execution.

d. Internal ESIT Provider Agency Policies and Procedures: The Contractor must ensure subcontractors:

- (1) Establish and implement written internal policies and procedures, within ninety (90) days of contract execution, that comply with Federal and State requirements, including WACs and the DCYF policies and procedures. These internal policies and procedures must be on hand and available upon request and must include but not be limited to the following:
 - (a) Enrollment process (e.g., child find and public awareness, referral, screening, evaluation and assessment, and Family Resource Coordination).
 - (b) Early support service provision (e.g., timely service provision, natural environments, scientific, research, evidence-based and/or promising practices, twelve (12) month services, transition).
 - (c) Procedural safeguards (parent rights, prior written notice, consent, confidentiality) and dispute resolution requirements.
 - (d) Personnel (e.g., maintaining adequate staffing, providing clinical supervision, ensuring compliance with personnel standards, personnel development [training and technical assistance], conflict of interest, and background checks).
 - (e) Data Management
 - (f) Administrative and fiscal management of the agency including effective internal controls and accountability over funds and property. The internal written procedures must ensure all expenditures conform to the terms and conditions of the contract as well as generally accepted accounting principles.

2. ENROLLMENT PROCESS

a. Child Find and Public Awareness: The Contractor must ensure subcontractors:

- (1) Participate in effective child find and public awareness activities, including disseminating the DCYF approved public awareness materials and participating in child find events, as outlined in the Local ESS Collaboration Plan.
- (2) Document completed child find/public awareness activities and submit to CLA or ESIT Provider Agency upon request.

(3) Participate in the annual review of ESIT Provider Agency, County and Statewide child find data and public awareness activities and make recommended amendments to the Local ESS Collaboration Plan Child Find/Public Awareness activities as appropriate to ensure targeted identification of underserved populations.

(4)

(5) Facilitate/participate in the development of local public awareness materials and submit all materials to the CLA or ESIT Provider Agency with a requested timeline for approval by the DCYF prior to use.

b. **Referral:** The Contractor must ensure subcontractors:

(1) Respond to all referrals of children who reside in each Resident School District Catchment Area(s) being served by the ESIT Provider Agency or ESIT Service Provider in accordance with the designated service area established in the Service Area Agreement in the Local ESS Collaboration Plan or Local Strategic Plan.

(2) Within three (3) business days of receipt of the referral, a designated qualified ESIT Service Provider (FRC or Intake Coordinator) must contact the family.

(3) Enter all referrals received into the DMS, including the status of the referral indicating the family's informed decision to accept or decline, within three (3) business days. The referral date is the date the referral was received by the ESIT Provider Agency or Central Intake for the service area, whichever is earlier.

(4) Ensure ESIT Provider Agency assigns an FRC to the family within five (5) business days of family's consent to participate in Early Support services.

(5) Ensure ESIT Provider Agency assigns an FRC in the DMS at least one (1) day prior to the FRCs first visit.

(6) Submit upon request, to the CLA, ESIT Provider Agency, or the DCYF, documentation that a qualified ESIT Service Provider (FRC or Intake Coordinator):

(a) Provided and reviewed Parent Rights with the parent(s)/guardian(s), and

(b) Obtained written documentation of the family's informed decision to accept or decline participation in Part C of IDEA for all referrals.

c. **Teaming:** The Contractor must ensure subcontractors:

(1) Use multidisciplinary teaming practices regarding conducting evaluations and assessments, completing child outcome measurement ratings, developing IFSPs and conducting IFSP reviews, and in providing coordinated IFSP services in accordance with the DCYF ESIT program recommended practices.

d. **Screening, Evaluation, and Assessment:** The Contractor must ensure subcontractors:

(1) Carry out the following in accordance with the DCYF policies and procedures:

(a) Practice Guidance: Screening: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>

(b) Practice Guidance: Evaluation and Assessment, Informed Clinical Opinion, Ongoing Eligibility and IFSP Reviews: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>

(c) Qualified Personnel Guidelines: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/training>

(2) Conduct developmental screenings in accordance with the DCYF Practice Guidance as referenced above and in Exhibit titled Developmental Screening-Appropriate Use, to determine if evaluation and assessment is necessary and located on the DCYF website here: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/contract-materials>

(3) Conduct an initial family directed assessment, with concurrence of the family using qualified personnel, to identify the family's strengths, resources, priorities, and concerns, and the supports and Early Support services necessary to enhance the family's capacity to meet the developmental needs of the child, and update annually.

- (4) Conduct an initial evaluation to determine eligibility and an initial assessment of the child's unique strengths and needs to identify appropriate ESIT Services to meet the developmental needs of referred children in accordance with Part C of IDEA and the DCYF policies and procedures and Exhibit titled Developmental Screening-Appropriate Use located on the DCYF website here:

<https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/contract-materials>

- (a) Evaluation and assessment must be:

- i. Multidisciplinary, defined as completed by qualified professionals representing at least two (2) disciplines, using two (2) tools/procedures.
 - ii. Comprehensive and cover all developmental domains.
- (5) Conduct ongoing assessments to identify progress/change in child and family's needs and to inform periodic IFSP reviews and annual IFSP meetings.
 - (6) Conduct a re-evaluation, in accordance with the DCYF State guidance, to determine ongoing eligibility if at any point during the provision of Early Support services, the IFSP team determines that the child may no longer be eligible for Part C of IDEA services, the IFSP team must decide whether additional evaluations are warranted to establish continued eligibility for the Part C of IDEA program.
 - (7) Use current evaluation and assessment data to develop child outcome measurement ratings for the three (3) child outcomes at entry and exit. Include families in the rating process.
 - (8) Enter eligibility information into the DMS within ten (10) business days.
 - (9) Enter COS information into the DMS within ten (10) business days

e. IFSP Meetings and IFSP Development/Review:

- (1) The Contractor must ensure subcontractors:

- (a) Invite IFSP team members, as outlined in Part C of IDEA and the DCYF policies and procedures, to participate in the following IFSP meetings and conduct these meetings:

- i. An initial IFSP meeting to develop an initial IFSP for children who are determined eligible for Part C of IDEA, within forty-five (45) days of referral.
 - ii. An IFSP review at least every six (6) months or more frequently if warranted.
 - iii. An annual IFSP meeting to evaluate the IFSP within three-hundred-sixty-five (365) days of the initial IFSP.
 - iv. A Transition Planning Conference at least ninety (90) days prior to the child's third (3rd) birthday.
- (2) For late referrals, refer to the "Late Services – Provision and Documentation – ESIT Practice Guide" <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>
 - (3) Enter IFSP activities, including the COS, into the DMS within ten (10) business days of the event.
 - (4) Collect data to account for the reasons for delays, including exceptional family circumstances, impacting the timeliness of IFSP meetings as outlined in the DCYF policies and procedures and the Late Services – Provision and Documentation Practice Guide: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>
 - (5) Develop the initial and annual IFSP and revise the IFSP as needed based on decisions made at IFSP meetings, in accordance with the DCYF policies and procedures and all required components of the WA IFSP as outlined in the IFSP Reviews Practice Guide: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>

f. Family Resources Coordination (FRC): The Contractor must ensure subcontractors:

- (1) Hire sufficient personnel to respond to referrals and provide FRC services within a maximum caseload ranging from forty-five (45) to fifty-five (55) active IFSPs per 1.0 full-time equivalent (FTE). In no case, can an FRC caseload exceed fifty-five (55) for more than sixty (60) days without an exception to policy waiver submitted to the CLA or ESIT Provider Agency for review and approval recommendation for the DCYF to consider.
- (2) Assign an FRC in the DMS at least one (1) business day prior to the FRCs first scheduled visit.

- (3) Support the FRC as an integral team member in the provision of Early Support services along with other ESIT Service Providers.
- (4) Carry out all FRC activities as outlined in the DCYF policies and procedures, including but not limited to providing parent rights and procedural safeguards, facilitating IFSP meetings, coordinating Early Support services, assisting families in accessing community resources, etc.

g. **Early Support Service Provision**

- (1) **Timely Service Provision in Accordance with the IFSP:** The Contractor must ensure subcontractors:
 - (a) Provide all Early Support services as outlined on each child's IFSP (e.g., frequency, intensity, length, and duration) and use effective teaming practices to meet the developmental needs of the child and the needs of the family related to enhancing their child's development.
 - (b) Ensure each child's IFSP is complete and provide a monthly service (which includes family resources coordination) for funding to be available to cover the cost of Early Support services.
 - (c) Initiate Early Support services within thirty (30) calendar days of the parent's signature or on or before the planned start date on the IFSP.
 - (d) Document late other and exceptional family circumstances, impacting the timeliness of initiating IFSP services as outlined in the DCYF policies and procedures and the Late Services – Provision and Documentation Practice Guide: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>
 - (e) Engage all families in the Entry and Exit COS process utilizing the Decision Tree as described in the DCYF Child Outcome Summary Process: Engaging Families in a Meaningful Way Practice Guide: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>
- (2) **Natural Environments:** The Contractor must ensure subcontractors:
 - (a) Provide all Early Support services in natural environments as documented on the IFSP unless a justification is provided as to why the child's outcome cannot be met in a natural environment, including a plan to return Early Support services to a natural environment. The plan must address a limited time period for providing Early Support services in a setting other than a natural environment as outlined in the DCYF policies and procedures and Natural Environments Practice Guide, located on the DCYF website here: <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>
- (3) **Evidence-based/Promising Practices:** The Contractor must ensure subcontractors:
 - (a) Use scientific, research, evidence-based and/or promising practices from practitioner's professions that meet the needs of children and families and promote and strengthen the capacity of parents and other caregivers to provide everyday learning opportunities for their child and increase child participation in daily activities and family routines.
 - (b) The Contractor must use Family Centered, Coaching and Teaming practices to meet the needs of children and families and promote and strengthen the capacity of parents and other caregivers to provide everyday learning opportunities for their child and increase child participation in daily activities and family routines as described in the ESIT Guiding Concepts, located on the DCYF website here:
<https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>
- (4) **Transition:** The Contractor must ensure subcontractors:
 - (a) Begin the development of the formal transition plan for each child receiving Early Support services six (6) months to nine (9) months prior to the child's third (3rd) birthday.
 - (b) Hold a transition planning conference to develop a transition plan:
 - (a) For every child with an active IFSP regardless of eligibility status for Part B services.
 - (b) No later than ninety (90) days prior to the child's third (3rd) birthday in accordance with State and Federal IDEA requirements
 - (c) Whether or not all applicable program representatives (e.g., ECEAP, Head Start, Private Preschool, and other early childhood programs) are available to attend.

- (c) Identify children who are potentially eligible for Part B services for data entry in the DMS by:
 - (a) The first (1st) and the fifteenth (15th) of every month for children between twenty-four (24) and thirty-two (32) months of age.
 - (b) The first (1st) of the previous month if the child turns thirty-two (32) months old after the fifteenth (15th) of the month.
- (d) Notify the Resident School District of potentially eligible children at thirty-two (32) months of age. The notification must include:
 - (a) Child's First, Last, and Middle Initial
 - (b) Date of Birth
 - (c) Resident School District
 - (d) Parent Contact Information
 - (e) FRC Contact Information
- (e) Notify the resident school district when a potentially eligible child's family declines transition planning for Part B services.

3. PROCEDURAL SAFEGUARDS and DISPUTE RESOLUTION

a. Procedural Safeguards: The Contractor must ensure subcontractors:

- (1) Implement all procedural safeguards requirements in accordance with the DCYF policies and procedures and as outlined in the Part C of IDEA Procedural Safeguards Technical Assistance and Training Guide: <https://www.dcyf.wa.gov/services/child-dev-support-providers/ESIT/forms-publications>

b. Parent Rights: The Contractor must ensure subcontractors:

- (1) Share and explain the Part C of IDEA Procedural Safeguards: Parent Rights with parents of each child referred to Early Support services at all required junctures in the enrollment and service delivery process. Parent Rights must be provided in the parent's native language or mode of communication, unless clearly not feasible to do so. <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/forms-publications>

c. Prior Written Notice: The Contractor must ensure subcontractors:

- (1) Provide Prior Written Notice (PWN) no less than seven (7) business days in advance, unless it is documented that a different timeframe best meets the family's needs, prior to proposing or refusing to initiate or change the identification, evaluation, service setting, or the provision of appropriate Early Support services. The PWN must be in the parent's native language or mode of communication, unless clearly not feasible to do so. <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/forms-publications>

d. Parent Consent: The Contractor must ensure subcontractors:

- (1) Obtain written parental consent prior to:
 - (a) Conducting screening.
 - (b) Conducting evaluation and assessment.
 - (c) Providing IFSP services.
 - (d) Releasing personally identifiable information.
 - (e) Using public benefits or insurance or private insurance to pay for Early Support services, including initially and each time there is an increase in IFSP services (frequency, intensity, length, or duration).

e. Confidentiality: The Contractor must ensure subcontractors:

- (1) Protect the confidentiality of any personally identifiable data, information and records collected, maintained or used in accordance with IDEA requirements.

- (2) Maintain a written record of parties obtaining access to records collected, obtained or used under Part C of IDEA (except access by parents and authorized employees of the Contractor or provider), including the name of the party, the date access was given, and the purpose for which the party is authorized to use the child's record.
- (3) Ensure Telehealth services meet HIPAA compliance standards.

f. **Dispute Resolution:** The Contractor must ensure subcontractors:

- (1) Attempt to resolve a dispute with any party at the lowest possible level and if the dispute is unable to be resolved support the grieved party in understanding and requesting a formal dispute resolution option.
- (2) Maintain records of all information received related to formal dispute resolution requests and how the dispute was resolved.

4. PERSONNEL

a. **Adequate Staffing:** The Contractor must ensure subcontractors:

- (1) Ensure adequate levels of qualified staff, including ESIT Provider Agency staff, ESIT Service Providers, and subcontractors from various disciplines as outlined in the DCYF Qualified Personnel Guidelines to be available as part of a multi-disciplinary team to evaluate and assess all children referred and provide all IFSP services for each eligible child. <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/training>
- (2) Ensure that a plan is in place to assure there is no break in FRC services.
- (3) Provide supervision of practitioners through the following functions: direct observations of service delivery; review of child/family records; performance appraisals of practitioners; and training/technical assistance of practitioners and other applicable ESIT Provider Agency staff, ESIT Service Providers and subcontractors.

b. **Personnel Standards:** The Contractor must ensure subcontractors:

- (1) Maintain current copies of each ESIT Provider Agency staff, ESIT Service Providers and subcontractor's license or certification, including the ESIT Credential, when made available by the DCYF, to ensure that those individuals conducting evaluation and assessment and/or providing Early Support services to eligible children and families meet all applicable State licensure and certification standards and requirements in accordance with the Exhibit titled, *Qualified Personnel Guidelines located on the DCYF website here:* <https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/training>

c. **Personnel Recruitment and Retention:** The Contractor must ensure subcontractors:

- (1) Have a comprehensive plan for recruitment and retention of ESIT Service Providers.
- (2) Make positive efforts to employ and advance employment of qualified individuals with disabilities and individuals who represent the diverse make-up of local communities being served.
- (3) Inform the CLA or ESIT Provider Agency of any personnel shortages or staffing changes.
- (4) Jointly develop recruitment and retention strategies with the CLA or ESIT Provider Agency, and the DCYF when needed.
- (5) Implement innovative strategies and activities for the recruitment and retention of ESIT Service providers;
- (6) Promote and financially support the preparation of ESIT Service providers who are fully and appropriately qualified to provide Early Support services.

d. **Personnel Development and Training:** The Contractor must ensure subcontractors:

- (1) Ensure new ESIT Provider Agency Coordinators requests and completes orientation from the CLA or DCYF Technical Assistance and Accountability and Quality Improvement Manager before the end of the Contract period.
- (2) Develop and maintain a comprehensive plan for recruitment and retention of ESIT Service Providers.

- (3) Ensure ESIT Service Providers have a training plan that includes required trainings and ongoing mentorship of direct service staff who are fully and appropriately qualified to provide Early Support Services.
- (4) Ensure that all ESIT Provider Agency staff, ESIT Service Providers, and subcontractors complete required training through the DCYF Training Portal as outlined in "Required Training Programs" located on the DCYF website here:
<https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/training>
- (5) Ensure all ESIT Provider Agency staff, ESIT Service Providers, and subcontractors create an account within the DCYF Learning Management System (LMS) to complete required training.
- (6) Maintain an Administrative User for the DCYF Training Portal to track training completion for staff as outlined in the Exhibit titled FY23-24 Training Requirements located on the DCYF website here.
<https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/training>
- (7) Access and provide training and TA support using:
 - (a) DCYF developed resources (e.g., practice guides, clarification memos) and the DCYF developed training materials to support understanding and implementation of Part C of IDEA requirements and the use of scientific, research, evidence-based and/or promising practices as appropriate.
 - (b) External resources to support understanding and implementation of Part C of IDEA requirements and the use of scientific, research, evidence-based and/or promising practices including but not limited to Promoting First Relationships (PFR), Home Visiting Rating Scale (HOVRS), and Parent Coaching methodologies. Provide employee orientation training on current DCYF policies, procedures, and other guidance documents.
- (8) Disseminate the DCYF resource materials to ESIT Provider Agencies, ESIT Service Providers, and subcontractors and invite participation in other training and technical assistance opportunities.
- (9) (e) Seek CLA or DCYF approval prior to adapting any DCYF provided forms/publications. Submit new and revised training and guidance materials developed using sources outside of the DCYF provided materials to the CLA or ESIT Provider Agency for DCYF review and final approval with requested timeline prior to use.
- (10) Upon request, participate in the development and review of statewide guidance materials

e. Conflict of Interest: The Contractor must ensure subcontractors:

- (1) Monitor ESIT Provider Agency, ESIT Service Providers, and subcontractor's status related to conflict of interest in collaboration with the DCYF.
 - (a) Ensure that ESIT Provider Agencies, ESIT Service Providers, and subcontractors avoid a conflict of interest or the appearance of a conflict of interest, including but not limited to the abstention from soliciting families enrolled in Early Support services for private business or personal economic gain, for example:
 - i. ESIT Provider Agencies and Subcontractors cannot make a unilateral decision to serve children ages 0-3 in private therapy without documenting that a parent has met with a qualified Early Support service Provider (FRC or Intake Coordinator) and has made an informed decision about declining participation in Early Support services, including receiving their Parent Rights
 - ii. ESIT Provider Agencies and Subcontractors cannot make a unilateral decision to serve children ages 0-3 residing outside of their service area, without first referring the child to the ESIT Provider Agency serving the child's Resident School District Catchment Area. If a parent chooses to enroll with an ESIT Provider Agency outside their service area, documentation must be provided, upon request, that:
 - A. The parent met with a qualified ESIT Provider Agency staff from the child's Resident School District Catchment Area (FRC or Intake Coordinator), and
 - B. Made an informed decision about declining participation in Early Support services, including receiving their Parent Rights, and/or

C. Obtained approval from DCYF of an exception based on a family's unique needs or circumstances or the Child's Resident School District ESIT Provider Agency capacity. This exception allows the ESIT Provider Agency in the out of service area to bill for applicable DCYF funding.

f. **Background Checks:** The Contractor must ensure subcontractors conduct and keep on file background criminal history clearance at least once every three years for all employees, subcontractors and/or volunteers who may have unsupervised access to children in accordance with state legal requirements for background checks, as defined in:

(1) RCW 43.43.830 through 43.43.840 <https://app.leg.wa.gov/RCW/default.aspx?cite=43.43>

(2) Chapter 110-06 WAC: <https://app.leg.wa.gov/wac/default.aspx?cite=110-06&full=true>

g. **Local ESS Collaboration Plan:**

(1) The Contractor must ensure subcontractors develop or participate in the development and annual review of a Local ESS Collaboration Plan or Local Strategic Plan to ensure high quality, equitable ESIT Services that addresses:

(b) Early Support Services Accountability

(c) Child Find, Outreach, and Referral Activities

(d) Evaluation and Assessment

(e) Family Resources Coordination

(f) Transition responsibilities with school districts and other early childhood partners (e.g., Head Start, ECEAP, etc.),

(g) Dispute resolution procedures for grievances and formal complaints among local agencies.

(h) Signatures of participants

(2) The plan must include Service Area Agreements, as necessary to ensure that no child and family goes unserved or to delineate service area coverage when there is more than one ESIT Provider Agency serving an identified school district catchment area as outlined in the Exhibit titled *Service Area Agreement Guidance*.

(3) This plan must be reviewed annually, and changes submitted to the CLA or ESIT Provider Agency in accordance with the Exhibit titled *Local ESS Collaboration Plan*.

a. Signatures for reviews are not required

b. Maintain documentation that collaborators were involved in the review and provide to the DCYF upon request.

h. **Regional/County/Local Early Childhood Interagency Coordinating Council:** The Contractor must ensure the subcontractor:

(1) Participates in a Regional/County/Local Early Childhood Interagency Coordinating Council (CICC) or Early Learning Coalition (ELC), within the geographic Early Support services area, to advise and assist the Contractor in the implementation of local Early Support services in accordance with RCW 43.216.574

(2) Participate in meetings as outlined in Exhibit titled, Regional/County/Local Early Childhood Interagency Coordinating Council.

(3) Maintain documentation (e.g., minutes, sign-in sheet) of participation in CICC/ELC meetings.

i. **Agency Administration and Management:** The Contractor must ensure subcontractors:

(1) Have sufficient Administrative Personnel with necessary expertise to manage and operate the agency and provide oversight of any subcontractors to ensure compliance with State and federal requirements.

j. **Contract Monitoring and Quality Assurance:** The Contractor must ensure subcontractors:

(1) Participate in CLA, ESIT Provider Agency, or DCYF regularly scheduled program wide quality assurance activities to be proactive with program improvement and compliance. This must include

review of DMS data, internal record reviews, and other strategies as deemed appropriate to identify and to initiate steps to mitigate any potential performance and/or compliance issues.

- (2) Comply with results of state level monitoring activities as part of the general supervisory authority of the DCYF
- (3) Participate in CLA, ESIT Provider Agency, or DCYF data collection, compliance, fiscal, and quality reviews to ensure compliance with Part C of IDEA and Washington State's Annual Performance Report (APR) compliance and performance indicators, provision of quality Early Support Services, use of scientific, research, evidence-based and/or promising practices as appropriate and to support overall program improvement.
- (4) Participate in CLA, ESIT Provider Agency, or DCYF training and TA identified in the DCYF Determination Levels Actions and Supports Rubric, approved improvement plan, and corrective action plan related to the DCYF monitoring that address root causes impacting compliance and/or performance.
- (5) Participate in the CLA, ESIT Provider Agency, or DCYF ESIT Statewide Integrated Monitoring System and quality assurance activities that include but are not limited to:
 - a. Systems Analysis Program Reviews
 - i. Evidence-based Practices
 - ii. Data
 - iii. Fiscal Accountability
 - iv. Dispute Resolution
 - v. Child and Family Record Reviews
 1. Compliance Indicators
 2. Quality Indicators
 3. Fiscal Indicators
- (6) In collaboration with the CLA, ESIT Provider Agency, or DCYF participate in the development and implementation of a Local System Improvement Plan that address the following:
 - a. Performance Based Contracting Quality and Outcome Measures
 - b. Determinations
 - c. ESIT Provider Agency identified training and technical assistance activities.
 - d. Non-compliance
- (7) In Collaboration with the CLA, ESIT Provider Agency, or DCYF use data to progress toward improvement and/or compliance.
- (8) Participate in CLA or ESIT Provider Agency monitoring activities that may include but are not limited to:
 - a. Single Monthly Count Certification
 - b. Payor of Last Resort
 - c. System of Payments and Fees
 - d. Contract Deliverables Submission
 - e. Services Delivered Verification,
 - f. Correction of non-compliance
- (9) Provide a right of access to its facilities to the CLA, ESIT Provider Agency, DCYF, personnel authorized by DCYF, or to any other authorized agent or official of the State of Washington or the federal government at all reasonable times in order to monitor and evaluate performance, compliance, and/or quality assurance under this Contract. CLA, ESIT Provider Agency, or DCYF will work with Contractor to determine a mutually acceptable date.
- (10) If the CLA, ESIT Provider Agency, or DCYF (a) encounters non-compliance with the terms outlined in this Contract on the part of the Contractor, or (b) is not satisfied with the quality of the Contractor's work, the DCYF, CLA or ESIT Provider Agency will make a reasonable attempt to assist Contractor with technical assistance to resolve issues that impede quality and compliance. In the event that compliance and/or quality issues are not resolved through standard technical assistance, Contractor will be engaged in corrective action through the development of a Local System Improvement Plan.

k. Technical Assistance and Training for Continuous Quality Improvement and Compliance: The Contractor must ensure subcontractors identify technical assistance and training needs and access these supports to enhance program improvement and ensure compliance. This should occur following both internal quality assurance activities as well as participation in the CLA, ESIT Provider Agency, or DCYF monitoring and continuous quality assurance activities.

5. DATA/DOCUMENTATION/RECORDS

- a. **DMS:** The Contractor must ensure subcontractors:
- (1) Enter accurate, valid and reliable data in the DMS no later than ten (10) business days following an event and in no case later than the tenth (10th) of the following month.
 - (2) Enter the following required data in the DMS to maintain each child's Part C of IDEA electronic record:
 - (a) All referrals;
 - (b) All evaluation/assessments;
 - (c) All Eligibility criteria;
 - (d) Identification of FRC in the DMS at least one (1) business day prior to the FRC's first meeting with the family;
 - (e) IFSPs, including documenting late other and exceptional family circumstances, in accordance with the Late Services – Provision and Documentation Practice Guide, resulting in delay in holding:
 - i. Timely IFSP meetings (e.g., Initial IFSP meeting within forty-five (45) days from referral;
 - ii. IFSP review at least once every six (6) months or more frequently as needed;
 - iii. Annual IFSP within 365 days of initial IFSP),
 - iv. IFSP amendment when adding or changing Early Support services, including frequency, intensity, or duration; and
 - v. Transition Planning Conference at least ninety (90) days prior to the child's third (3rd) birthday
 - (f) Initiation of Early Support services within thirty (30) days of the parent's signature, or on or before the planned start date including documenting programmatic reasons and exceptional family circumstances resulting in reasons for delay;
 - (g) Child Outcome Summary (COS) entry and exit data reporting;
 - (h) Transition activities:
 - i. Transition steps and services in the IFSP Transition plan;
 - ii. Date transition conference was held or declined;
 - iii. Potential eligibility for Part B services in the child's transition tab in the DMS by:
 - A. The first (1st) and fifteenth (15th) of every month for children between twenty-four (24) and thirty-two (32) months of age, and
 - B. The first (1st) of the following month if the child turns 32 months old after the fifteenth (15th) of the month
 - (3) Enter data in the DMS to maintain a current list of all ESIT service providers and subcontractors providing Early Support services
 - (4) Ensure all ESIT service providers and subcontractors using the DMS receive the required training before assuming these duties and that they are subscribed to the DMS GovDelivery.
 - (5) Enter all Early Support service(s) provided to each child monthly are entered into the DMS or an Electronic Medical Record with direct connection to the DMS no later than the tenth (10th) of the following month, when made available by DCYF, in accordance with Chapter 43.216 RCW (HB 1661).
- b. **Use of Data:** The Contractor must ensure subcontractors use the DMS data reports and functions and other data sources for:
- (1) Monitoring timelines and compliance with Part C of IDEA and ESIT State Policy and Procedures.
 - (2) Internal quality assurance purposes including program improvement.

- (3) Managing caseloads and staffing.
- (4) Informing financial planning and fiscal management.

c. **Other Documentation and Reporting:** The Contractor must ensure subcontractors:

- (1) Annually submit to the CLA or ESIT Provider Agency Statewide Directory information.
- (2) EXIT children from the DMS by documenting and submitting to the CLA, ESIT Provider Agency or the DCYF, upon request, the following:
 - (a) For children who are referred but do not yet have an IFSP, a child's record can be closed after three (3) failed attempts using at least two (2) different means of communication over a period of at least ten (10) business days.
 - (b) Exit all children who have a current IFSP and are lost to Early Support services after a maximum of ninety (90) days from the DMS after three (3) failed attempts on multiple days using at least two (2) different means of communication made over at least ten (10) business days.
 - (c) Ensure "Exceptional Family Circumstances" and "Late Other" reasons are documented in accordance with the Late Services – Provision and Documentation practice guide, located on the DCYF website here:

<https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/practice-guidance>

d. **Service Provision:** The Contractor must ensure subcontractors:

- (1) Register for and participate in scheduled DMS training and technical assistance sessions to learn how to document the provision of Early Support services provided as indicated on an active IFSP monthly for or on behalf of a child/family. Training and technical assistance sessions will require inputting a representative sampling of child/family service delivery information to practice and demonstrate efficiency with the new service delivery tracking and reporting features.
- (2) Maintain documentation of the provision of early support services provided as indicated on an active IFSP monthly for or on behalf of a child/family within its existing child/family recordkeeping system. Documentation must be made available upon request to the CLA, ESIT Provider Agency and/or the DCYF.

e. **Record Retention:** The Contractor must ensure subcontractors:

- (1) Retain child records, electronic, digital, and/or paper, for six (6) years from when it was last in effect or the termination of the CLA or ESIT Provider Agency contract, whichever is later. Records, electronic, digital, and/or paper, must meet Secretary of State Standards.
 - (a) Records, electronic, digital, and /or paper, must be destroyed at the request of the parent. Destruction means to "physically destroy the record or ensure that personal identifiers are removed from a record so that the record is no longer personally identifiable.
 - (b) A permanent record of a child's name, date of birth, parent contact information (including address and phone number), names of service coordinator(s) and ESIT service provider(s) and exit data (including year and age upon exit, and any programs entered into upon exiting) may be maintained without time limitation.
 - (c) Submit to the CLA or ESIT Provider Agency the Certification of Data Disposition form, within fifteen (15) calendar days of the destruction of electronic, digital, or paper records, located on the DCYF website:

<https://www.dcyf.wa.gov/services/child-dev-support-providers/esit/contract-materials>

f. **Inventory:** The Contractor must ensure subcontractors:

- (1) Submit a written request for pre-approval to the CLA or ESIT Provider Agency for the purchase of all assets with a unit cost (including ancillary costs) of at least \$5,000 or greater.
- (2) Maintain a list of all inventory purchased in whole or in part with the DCYF funds with unit costs of at least \$500 or greater, including;
 - (a) Computer systems, software, laptop and notebook computers, and other approved office equipment.

- (b) Communications and audio-visual equipment.
 - (c) Cameras and photographic projection equipment.
 - (d) Therapy appliances.
 - (e) Other assets identified by the Contractor as vulnerable to loss.
- (3) Include the following in the inventory list and supporting records, if applicable:
- (a) Description of the asset;
 - (b) Manufacturer or trade name;
 - (c) Quantity;
 - (d) Serial number;
 - (e) Inventory control number;
 - (f) Contractor's acquisition date;
 - (g) Order number from purchasing document;
 - (h) Total cost or value at time of acquisition;
 - (i) Ownership status, for example if shared by multiple funding sources;
 - (j) Depreciation for capital assets;
 - (k) Location of item;
 - (l) Useful life, in years; and
 - (m) Disposal date, method, and salvage value.

g. **Treatment of Assets:** The Contractor must ensure subcontractors:

- (1) Holds title to equipment purchased in whole or in part with the DCYF funds.
- (2) Request approval from the DCYF prior to selling or disposing of equipment from the Subcontractor's Inventory List, and the DCYF must have the option of recapturing the equipment.
 - (a) If the DCYF gives approval for the Contractor to sell the equipment, the Contractor shall use the income for Early Support services.
 - (b) If a Contractor ceases provision of Early Support services, the Contractor must transfer title and return to the DCYF any equipment purchased all or in part with the DCYF funds or the proceeds from current market-value sale of such equipment, at the DCYF's discretion.
 - (c) If a Contractor ceases provision of Early Support services at a site or Subcontractor, the Contractor must transfer equipment purchased all or in part with the DCYF funds, or the proceeds from current market value sale of such equipment to another ESIT Provider Agency or ESIT Service Provider or return it to DCYF.
 - (d) Any property funded by the DCYF, Part C of IDEA funds must, unless otherwise provided herein or approved by DCYF, be used only for the performance of this Contract.

6. OTHER REQUIREMENTS

a. **Communication with the DCYF:** The Contractor must ensure subcontractors:

- (1) Participate in the DCYF web-based meetings, as requested.
- (2) Attend two (2) mandatory Statewide and Regional Provider Meetings, as designated by the DCYF.
- (3) Participate in technical assistance and continuous quality improvement activities with the CLA, ESIT Provider Agency and/or DCYF Staff, as requested.
- (4) Inform the CLA or ESIT Provider Agency, and the DCYF Accountability and Quality Improvement Manager immediately of:

- (a) Any serious issue that impacts Early Support services for the DCYF.
 - (b) Any serious issue that has potential for media coverage.
 - (c) A charge or conviction against an ESIT Provider Agency, ESIT Service Provider, and subcontractor for a disqualifying crime under WAC 110-06-0070 <https://apps.leg.wa.gov/WAC/default.aspx?cite=110-06-0070> .
- b. **Outcome Measure Activities:** The contractor must ensure subcontractors:
- (1) Participate in outcome measure activities, as requested by the DCYF to help achieve the following long-term child and family outcomes, with a focus on building partnerships, using data to learn and improve, and advancing racial equity.
 - (2) Expected child outcomes of the DCYF are:
 - (a) Positive social-emotional skills (including positive social relationships),
 - (b) Acquisition and use of knowledge and skills (including early language/communication), and
 - (c) Use of appropriate behaviors to meet their needs
 - (3) Expected Family outcomes are:
 - (a) Know their rights,
 - (b) Effectively Communicate their child's needs, and
 - (c) Help their child develop and learn
- c. **Performance-Based Contracting (PBC):** The Contractor must ensure subcontractors do the following:
- (1) Certify monthly that Early Support services were provided to all children with an active IFSP, as submitted for billing.
 - (2) Participate in universal training as well as targeted and tailored technical assistance as may be needed, when provided by the DCYF
 - (3) Ensure that all Early Support service(s) provided to each child monthly are entered into the DMS or an Electronic Medical Record with direct connection to the DMS no later than the tenth (10th) of the following month, when made available by DCYF, in accordance with **Chapter 43.216 RCW** (HB 1661).
 - (4) Develop a Local System Improvement Plan to address any unmet statewide targets for the performance Indicators as identified in the State Performance Plan and reported on the annual Provider Agency Data Profile.
- d. Quality and Outcome Measures:

Quality Measure	Capacity of ESIT Service Provider to complete the Child Outcome Summary (COS), which measures the child's progress in all functional areas of development including positive social relationships, learning and developing new skills, and ability to meet their needs
Metric	COS Ratings are determined using the Decision Tree Tool (State Performance Plan - Indicator C-3)
Target	70% of FRCs/Teams will use the decision tree with 80-100% of families.
Reporting Requirement	Indicate use of the Decision Tree in the DMS for all required Entry & Exit COS
Performance Management Tool	Local System Improvement Plan

Outcome Measures	Capacity of ESIT Service Provider to increase the parent's confidence in their ability to support their child's development in all areas
Metric	ESIT Family Survey (State Performance Plan - Indicator C-4)
Target	A. Know their rights – 85% B. Effectively communicate their child's needs – 91.75% C. Help their child develop and learn – 87.75%
Reporting Requirement	Administered annually by an expert contractor working with the DCYF, who will report results to the DCYF.
Performance Management Tool	Local System Improvement Plan

f. **Mandatory Reporters:**

ESIT Service Providers and subcontractors are deemed mandatory reporters of abuse and neglect involving children and vulnerable adults, in accordance with Chapter 26.44 RCW, and vulnerable adults, in accordance with Chapter 74.34 RCW.

[Mandatory Reporting of Child Abuse and Neglect | Washington State Department of Children, Youth, and Families](#)

g. **Subcontracting:**

- (1) Upon approval by the CLA or ESIT Provider Agencies, may subcontract with ESIT Service Providers to ensure comprehensive Early Support services are available to all eligible infants and toddlers and their families in each geographic area.
- (2) Subcontractors must adhere to requirements outlined in this contract when subcontracting and must notify the CLA or ESIT Provider Agency of any subcontract changes.
- (3) Submit drafts of all subcontracts and agreements regarding the provision of ESIT Services regardless of source of funds to the CLA or ESIT Provider Agency for approval by the DCYF prior to implementing the subcontract.
- (4) Ensure Subcontractor services are delivered according to Part C of IDEA and this Statement of Work.
- (5) Ensure audit and monitoring results are available upon request.
- (6) Demonstrate subcontractors are meeting all requirements as outlined in this Statement of Work.
- (7) ESIT service provider and subcontractor contracts must include all language from the Exhibit titled, *ESIT Provider Agency/ESIT Service Provider Subcontractor Requirement*, and include the following:
 - (a) A detailed division of roles and responsibilities between the Subcontractor and Contractor.
 - (b) A list of deliverables the Subcontractor must submit to the Contractor, along with due dates.
 - (c) Language that the Subcontractor must submit and implement a written plan to remedy noncompliance with the terms and conditions of the Subcontract, as identified by the CLA or ESIT Provider Agency.

7. FISCAL

a. **IDEA Fiscal Requirements:** The Contractor must ensure subcontractors:

- (1) Maintain a financial management system that ensures federal Part C of IDEA funds are used in accordance with Part C of IDEA requirements including but not limited to:

b. **Prohibition Against Supplanting:**

- (1) Must not commingle Federal Part C of IDEA funds with other funds.
- (2) Must use Federal Part C of IDEA funds to supplement the level of State and local funds expended for eligible infants and toddlers with disabilities and their families, and in no case to supplant those State and local funds.

c. Payor of Last Resort: The Contractor ensures the subcontractor:

- (1) Uses Federal IDEA Part C funds as Payor of Last Resort.
- (2) Does not use Federal IDEA Part C funds to satisfy a financial commitment for Early Support Services that otherwise would have been paid for in-full or in-part from another public or private funding.
- (3) May use Federal Idea Part C funds to cover the remainder of a partially covered cost.
- (4) May use Federal IDEA Part C funds for infrastructure costs necessary for the provision of direct Early Support Services, including family/parent advocate positions.
- (5) Must have a written payor of last resort and hardship policy and procedure.

d. Budget: The Contractor and subcontractor(s) must ensure:

- (1) Ensure that all expenditures meet the criteria for necessary, reasonable, and allocable as a direct charge.
- (2) Use fiscal and programmatic data to develop, manage and maintain an operating budget, delineated by fund source(s), to provide Early Support services to eligible infants and toddlers and their families.
- (3) Submit a budget to the CLA or ESIT Provider Agency on the budget workbook provided by the DCYF by September 30th.
- (4) In accordance with WAC 110-400-0140, Administrative Indirect Costs cannot exceed 10% of total allocation.
- (5) Budget Workbook Revision
- (6) The Contractor and subcontractor must submit for prior approval a Budget Workbook Revision request to the CLA or ESIT Provider Agency when:
 - Adding a subcontractor.
 - Terminating a subcontractor.
 - Redistributing 10% or more of the total allocation between Budget Workbook categories.
 - There is more than a 10% variance of total allocation as a result of a contract amendment.
- (7) Any changes must be according to the Exhibit titled Budget Workbook Definitions and Instructions.
- (8) Written requests must be received at least one month prior to the effective date for any revision.

e. Fiscal Management: The Contractor must ensure subcontractors:

1. Disburse, make payments and/or reimburse funds for allowable expenses.
2. Refer Developmental Disabilities Administration (DDA) eligible children to DDA.
3. Bill and collect third party sources (e.g. Medicaid and other public and private insurance) and parent fees in accordance with the DCYF System of Payments and Fees Policies.
4. Use funds efficiently and effectively to contain costs and provide high quality, equitable Early Support Services that meet the needs of children and families and complies with IDEA requirements.
5. Monitor internal use of funds and resources on an ongoing basis, including participating in audits and fiscal integrity reviews as well as monitoring funding of subcontracts to ensure compliance with all federal, State and local mandates.

f. Use of Funds: The Contractor must:

1. Comply with the Use of Funds requirement to expend state and federal funds for ESIT required activities in accordance with WAC 110-400-0140.
2. Ensure funding is not used to purchase employee gifts or gift cards
3. Ensure funding sources are not commingled.
4. Ensure funding sources are tracked separately
5. In accordance with WAC 110-400-0140 limit Administrative Indirect costs to:
 - (a) No more than ten (10) percent of the total public moneys received when providing Part C of IDEA required components or direct Early Support Services, or

- (b) No more than five (5) percent of the total public moneys received when acting as a pass through for state birth to three special education, ELTA, or federal Part C of IDEA funding.

8. COMPENSATION/VOUCHER PAYMENT

a. The Contractor must ensure subcontractors:

- (1) Compensation for services will be paid upon the timely completion of services and is contingent upon acceptance of relevant work products and approval by the CLA or ESIT Provider Agency as described in this Contract.
- (2) Certify billing information provided by the CLA or ESIT Provider Agency, sign and submit it to the CLA or ESIT Provider Agency for payment.
- (3) Facilitate the coordination of payment for Early Support services from Federal, State, Local and Private sources (including public and private insurance coverage).
- (4) Funding sources must not be commingled
- (5) Funding sources must be tracked separately
- (6) Payments for Part C of IDEA, ELTA, and American Rescue Plan Act Funds are made on reimbursement of actual costs.
- (7) CLA or ESIT Provider Agency will reimburse Early Support services as follows:

(a) State Birth to Three Special Education Funding – Fee for Service County EIS Rate not to exceed OFM's annual allocation to the CLA or ESIT Provider Agency.

- i. The Fee for Service County EIS Rate is derived from the Basic Education Allocation (BEA) rate times 1.15, for each Resident School District Catchment Area and weighted by enrollment. The County EIS Rates are based on the DCYF annual average enrollment caseload data and will periodically be adjusted in October, January, and April.
- ii. State Birth to Three Special Education funds may be used to support Medicaid Administrative Claiming activities. These funds are not from a federal source, and DCYF is not currently using them as required match for other federal funds.

(b) Education Legacy Trust Account (ELTA) Annual Allocation

- i. The Contractor's annual allocations are based on the ELTA's per child rate multiplied by the DCYF annual average enrollment caseload.
- ii. Compensation is based on actual cost reimbursement
- iii. Funds must be used for direct Early Support Services and may include administrative costs directly associated with the delivery of services.
- iv. Any ELTA funding that has not been utilized by the end of the contract period will revert to DCYF and will not roll forward to the next fiscal year.

(c) Part C of IDEA

- i. The Contractor's annual allocations are based on the Part C of IDEA's per child rate multiplied by the DCYF annual average enrollment caseload
- ii. Compensation is based on actual cost reimbursement
- iii. Part C of IDEA funds used for direct Early Support Services are payer of last resort. In accordance with the requirements of 34 CFR, all other federal, state, local and/or third-party funding must be accessed and applied first.
- iv. Part C of IDEA will supplement, not replace, existing resources including program income. Any Part C of IDEA funding that has not been utilized by the end of the contract period will be reallocated by the DCYF.
- v. All expenditures must meet the federal cost principles including a number of general factors that affect the allowability of all expenditures, linking the necessity, reasonableness, and allocability of the expenditures as a direct charge to the contract.

(d) American Rescue Plan Act (ARPA) Funding

- i. All ARPA Funding must be obligated by September 30, 2023.
 - ii. Contractor must track expenditures unique to this allocation separately.
 - iii. Compensation is based on actual cost reimbursement
 - iv. Contractor will be reimbursed \$1000 per person, up to five (5) staff, to participate in the new DMS Training.
- (e) Travel
- i. The Contractor must comply with Washington State Office of Financial Management travel policy for travel expenses directly related to services under this Contract.
<http://www.ofm.wa.gov/policy/10.htm>.
 - ii. For reimbursement of Contractor and employee's travel expenses for ELTA, Part C of IDEA, and ARPA funding, attach itemized receipts
 - iii. Upon request, provide receipts and other supporting fiscal documentation to the CLA or ESIT Provider Agency.
 - iv. All payment documentation must be submitted to the CLA or ESIT Provider Agency.
- (f) Voucher Verification
- i. Prior to payment under this Contract, the CLA or ESIT Provider Agency must review and approve all data regarding Early Support services rendered; receipt of Deliverables, due according to Exhibit C, Deliverables; completion of activities, as detailed in this Contract; and s described below:
 - A. The actual number of children being billed for State Birth to Three SpEd funding, who:
 - 1) Are enrolled in an ESIT Provider Agency **and**
 - 2) Are between the ages of birth through 2 years of age and not yet three on the count day **and**
 - 3) Have an active IFSP:
 - a) based on eligibility criteria established in ESIT State Policies **and**
 - b) which meets federal and state procedural requirements **and**
 - 4) Are eligible for and receiving early intervention services^[1] .
- ^[1] RCW 43.216.580 - <https://app.leg.wa.gov/RCW/default.aspx?cite=43.216.580> (SHB 2787) states: "[For the purposes of this subsection \(2\), a child is receiving early intervention services if the child has received services within a month prior to the monthly count day.](#)"
- 5) The actual expenditures incurred for the month being billed for Part C of IDEA, ELTA, and ARPA.
 - 6) In addition, included must be an expenditure detail report, showing detailed information that supports monthly expenditures for Part C of IDEA, ELTA, and ARPA funding. This could include:
 - 1. Monthly or Quarterly Expense Summary as produced by an accounting system and clearly detailing expenses incurred for each Pay Point in that period's A-19 Voucher.
 - 2. Monthly or Quarterly Payroll Summary for each staff person paid under the contract for that period; and/or
 - 3. Invoice documentation supporting payment for contracted services, as appropriate.
 - ii. If the CLA, ESIT Provider Agency, or the DCYF is not satisfied with the performance of work, the CLA, ESIT Provider Agency, or the DCYF reserves the right to refuse to pay full compensation to the Subcontractor. Whenever possible, the CLA, ESIT Provider Agency, or DCYF must identify any deficiencies in the Deliverables and recommend changes within thirty (30) days of receiving Deliverables. The Subcontractor must respond in writing within ten (10) days to indicate what steps are being taken to address identified deficiencies. Upon

correction to the deficiencies to the satisfaction of the CLA, ESIT Provider Agency, or the DCYF, the Subcontractor must receive payment.

g. DELIVERABLES

a. Reporting Requirements:

- (1) Unless otherwise instructed, return deliverable forms in their original format, as sent to Subcontractors by the CLA or ESIT Provider Agency.
- (2) Submit Deliverables to the CLA or ESIT Provider Agency and, when requested, to the DCYF at ESIT.Reports@dcyf.wa.gov.

(a) Required Deliverables for this Contract are:

- i. Audits or Other Monitoring Reports, if required
- ii. ESIT Statewide Directory Contract Contact Form, due annually 30-days from contract execution and immediately as changes occur
- iii. Federal Certification and Assurance, due annually by July 10th
- iv. Financial Disclosure Certification, due annually by July 10th
- v. FY23 State & Local Revenue and Expenditures Report – Year-End, due by Sept 30th
- vi. Interagency Agreements/MOAs/MOUs, if needed, upon development and expiration
- vii. Local ESS Collaboration Plan, upon development, review annually, and submit changes by Dec 31st
- viii. Service Area Agreements, if needed, upon development and expiration
- ix. Single Monthly Count Reports, due monthly on or before the 25th
- x. Subcontracts, if needed, due by Dec 31st and immediately thereafter upon development, must submit for prior approval

(b) Other Requirements

- i. Certification of Data Disposition, two-weeks prior to destruction of information
- ii. Certificate of Insurance two-weeks after renewal
- iii. Confidentiality and Non-Disclosure Agreement initially and immediately as staff changes occur