ESIT Practice Guide

Topic: Personnel Qualification Standards

Early Support for Infants and Toddlers (ESIT) services, according to Part C of IDEA, are developmental services provided under public supervision and provided by qualified personnel (CFR303.13). Qualified personnel mean personnel who have met State requirements in the areas in which they are conducting evaluations, assessments or are providing services (CFR303.31). The services and personnel listed in this guidance are not exhaustive. Providers and employers should consult with their County Lead Agency (CLA) or their ESIT Workforce Development Team with questions about including other types of services or personnel in the Individualized Family Service Plan (IFSP).

Personnel Requirements in Washington State for ESIT Service Providers

The chart below summarizes a review of all Washington statutes and the rules of all Washington agencies applicable to serving children eligible under Part C of IDEA and their families and was current as of the date of this guidance. Employers and applicants should consult the websites of the Washington State Department of Health (DOH) and Office of the Superintendent for Public Instruction (OSPI) for the most current requirements. These sites also address appropriate credentials and procedures for applicants from out of state.

ESIT Service (CFR303.13(b))	Discipline (CFR303.13(c))	Education	Credential
Assistive Technology Assists in the selection, acquisition, or use of an assistive technology device used to increase, maintain, or improve functional capabilities of child	Teacher, OT, PT, SLP, Audiologist, etc.	Specialized knowledge of technologies in their field	DOH license in their field or ESA Certificate/OSPI
Audiology Identifies auditory impairments, provides auditory training, assists in selecting/fitting devices,	Audiologist	Master's or Doctorate in Audiology	DOH license RCW 18.35 WAC 246-828 https://www.doh.wa.gov/Lic ensesPermitsandCertificate s/ProfessionsNewRenewor Update/Audiologist/License Requirements
etc.	School Audiologist	Master's in Audiology	ESA Certificate/OSPI

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Family Training Counseling, and Home Visits Assists the family in understanding the child's special needs and enhancing the child's development	Social workers, psychologists, and other: qualified personnel when training caregivers in their field of practice	All qualified personnel listed here except for FRCs	Related DOH license or ESA Certificate/OSPI
Health Services			
Provides services necessary for child to benefit from EI such as consultation physicians on special health care needs, etc.	See Medical and Nursing Services		
Medical Services Assists in determining developmental status and need for Part C services	Physician (e.g. Family Practitioner, Pediatrician, Ophthalmologist)	Doctor of Medicine Graduation from an accredited or approved medical school	DOH license RCW 18.71 WAC 246-918 https://wmc.wa.gov/licensin g/licensing-requirements
	Registered Nurse (RN)	Graduation from approved nursing program	DOH license RCW 18.79 WAC 246-840 https://www.doh.wa.gov/Lic ensesPermitsandCertificate s/NursingCommission/Nurs eLicensing/RegisteredNurs e/LicenseRequirements
Nursing Services			DOH license
Improves or restores functioning, promotes health and development, etc.	Licensed Practical Nurse under supervision of RN	Completion of approved nursing program	https://www.doh.wa.gov/Lic ensesPermitsandCertificate s/NursingCommission/Nurs eLicensing/LicensedPractic alNurse
	School Nurse	Bachelor's in nursing from an accredited program	DOH License or ESA Certificate/OSPI http://www.k12.wa.us/Certif ication/ESA/SchoolNursel. aspx
Nutrition Services			DOH license
Provides nutrition and feeding assessments, addresses nutritional needs, provides referrals to carry out	Nutritionist	Bachelor's in nutrition, dietetics or related field	RCW 18.138 WAC 246-822 https://www.doh.wa.gov/Lic ensesPermitsandCertificate s/ProfessionsNewRenewor

nutrition goals			Update/DietitianandNutritio
			nist/LicenseRequirements
			DOH license
			RCW 18.138
		Bachelor's in	WAC 246-822
		nutrition,	
	Dietician	dietetics or	https://www.doh.wa.gov/Lic
		related field	ensesPermitsandCertificate
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			nist/LicenseRequirements
			DOH license
			RCW 18.59
		Graduation from	WAC 246-847
		a nationally	
	Occupational Therapist	accredited,	https://www.doh.wa.gov/Lic
		board-approved	ensesPermitsandCertificate
		school	s/ProfessionsNewRenewor
Occupational Therapy			Update/OccupationalThera
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adaptive development,	Occurational Theoremiat	Graduation from	WAC 246-847
behavior, play,	Occupational Therapist Assistant under	a nationally	https://www.dob.wo.gov/lie
sensory, motor and		accredited,	https://www.doh.wa.gov/Lic ensesPermitsandCertificate
postural development	supervision of an OT	board-approved school	s/ProfessionsNewRenewor
		SCHOOL	Update/OccupationalThera
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		Bachelor's from	1 -1
		an American OT	ESA Certificate/OSPI
	School Occupational	Association	http://www.k12.wa.us/Certif
	Therapist	approved	ication/ESA/OccupationalT
		institution	herapistl.aspx
		Graduation from	
	Physical Therapist	an accredited	DOH license
Physical Therapy		school	
			DOH license
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sensorimotor			WAC 246-915
function through			,,
enhancement of	Physical Therapist	Graduation from	https://www.doh.wa.gov/Lic
musculoskeletal status,	Assistant under	an accredited	ensesPermitsandCertificate
neurobehavioral	supervision of a PT	school	s/ProfessionsNewRenewor
organization,			Update/PhysicalTherapist/L
perceptual and motor			<u>icenseRequirements</u>
development,			
cardiopulmonary status, and		Bachelor's from	-
effective environmental		an American PT	ESA Certificate/OSPI
adaptation	School Physical	Association	http://www.k12.wa.us/Certif
αυαριατίστι	Therapist		ication/ESA/PhysicalThera
		approved Institution	pistl.aspx
Psychological		Doctorate from	DOH license
Services	Psychologist	a regionally	RCW 18.83
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Administers assessments; interprets information about child behavior and conditions related to learning, mental		accredited institution	https://www.doh.wa.gov/Lic ensesPermitsandCertificate s/ProfessionsNewRenewor Update/Psychologist/Licen seRequirements
health, and development; and provides psychological counseling for children, parents, and families; consults on child development, parent training, and education	School Psychologist	Master's in School Psychology	ESA Certificate/OSPI http://www.k12.wa.us/Certif ication/ESA/SchoolPsychol ogistl.aspx
Service Coordination (Family Resources Coordination) Assists and enables a child and family to receive services and understand rights, including procedural safeguards, required under part C of IDEA	Family Resources Coordinator	Complete basic training provided by the DCYF BA in El field or equivalent suggested	Registration with the DCYF
Sign Language or Cued Language Teaches sign language, cued language, and	Speech-language Pathologist personnel	See Speech- language Pathology	See Speech-language Pathology
auditory/oral language; provides oral transliteration services (such as amplification); provides interpretation	Teacher of the Deaf	See Special Educator	See Special Educator
Social Work Services Addresses patterns of parent-child interaction; provides social or emotional developmental assessment, individual	Licensed Independent Clinical Social Worker (LICSW) or Licensed Advanced Social Worker (LASW) or Associate-Advanced and Independent Clinical Social Worker (AACSW)	Master's from accredited program Master's in social work or	DOH license RCW 18.225 WAC 246-809 https://www.doh.wa.gov/Lic ensesPermitsandCertificate s/ProfessionsNewRenewor Update/SocialWorker ESA Certificate/OSPI http://www.k12.wa.us/Certif
and family counseling, social skill building, and coordination with community resources	School Social Worker Registered Social Worker	approved program Master's from accredited program	ication/ESA/SchoolSocialW orkerl.aspx DOH License RCW 18.19 WAC 246.810

			https://www.doh.wa.gov/Lic ensesPermitsandCertificate s/ProfessionsNewRenewor Update/AgencyAffiliatedCo unselor/LicenseRequireme nts
Special Instruction Promotes acquisition of skills in a variety of areas, including cognitive and social interaction; addresses learning environments; provides families with information, skills, and support to enhance skill development of the child; plans curriculum to achieve IFSP outcomes	Special Education Teacher	Graduation from an approved program	Special Education or Early Childhood Special Education endorsement (preferred)/OSPI www.k12.wa.us/certification/Te acherMain (Exception: Endorsement is not required if a person applied for their certificate prior to July 1, 1987.)
	Teacher of the Deaf	Graduation from an approved program	Deaf Education endorsement/OSPI
	Teacher of the Visually Impaired	Graduation from an approved program	Teacher of the Visually Impaired endorsement/OSPI
	Para-educator under supervision	See OSPI Guidelines	OSPI Certificate in development, currently not required (7/2018) http://www.k12.wa.us/certification/Paraeducator.aspx
Speech-language Pathology Identifies children with communication or language disorders and delays; provides habilitation, rehabilitation, or prevention of communication or language disorders and delays; provides referrals	Speech-Language Pathologist	Master's degree in speech- pathology or communication disorders	DOH license RCW 18.35 WAC 246-828 https://www.doh.wa.gov/LicensesPermitsandCertificates/ProfessionsNewReneworUpdate/SpeechLanguagePathologist/LicenseRequirements
	Speech-Language Pathology Assistant under supervision of a SLP	Board-approved associate degree certificate of proficiency, or bachelor's degree from a speech, language, and hearing program	DOH license RCW 18.35 WAC 246-828 https://www.doh.wa.gov/Lic ensesPermitsandCertificate s/ProfessionsNewRenewor Update/SpeechLanguageP athologyAssistant/License Requirements
	School Speech- Language Pathologist	Master's degree in speech- pathology or	ESA Certificate/OSPI http://www.k12.wa.us/Certifi cation/ESA/SpeechLangua

		communication disorders	gePathologistl.aspx
Assesses visual functioning, including diagnosis and appraisal of visual disorders, delays, and abilities that affect development; refers for medical and other services necessary for habilitation or rehabilitation or rehabilitation of visual functioning disorders; provides communication skills training, orientation and mobility training, and visual training to activate visual motor abilities	Teacher of the Visually Impaired Orientation and Mobility Specialist (for the blind and visually impaired)	Graduation from an approved program Bachelor's and meets course requirements for certification with the Association for the Education and Rehabilitation of the Blind and Visually Impaired (AER)	Teacher of the Visually Impaired endorsement/OSPI

Use of Paraprofessionals and Assistants

Paraprofessionals and assistants who are trained and supervised according to State law may be employed to assist in service provision. (CRF303.119(c)). In general, paraprofessionals, assistants, emergency and temporary hires may carry out planned activities on the IFSP created by their supervisor. Supervision guidelines must be in place...Insurance billing requirements may differ for paraprofessionals and assistants or they may not be billable.

Temporary Employment

When qualified professionals, paraprofessionals or assistants cannot be found after documented good faith efforts, the State may allow the most qualified individuals, who are making documented progress toward the requirements to work under supervision. (303.119(d)). DOH and OSPI generally allow the individual up to a year to complete necessary requirements to work unsupervised.

Supervision Guidelines

Employers should refer to professional standards (i.e. OT, PT, ASHA links) governing particular disciplines as their guidance varies as to the nature, frequency and length of supervision. In general, paraprofessionals, assistants and emergency and temporary hires must have contact with the supervising therapist as often as needed for the provision of quality services. This means at least face to face contact at the beginning of services and at least once per month.

Documentation of supervisory activities should be recorded and available upon request of the DCYF or the CLA. Supervisors may also need to sign off on records for billing purposes.

Emergency and Chronic Personnel Shortages

When there is no qualified professional, assistant, nor anyone working toward requirements available,

activities needed to meet outcomes must still be listed on the IFSP and must still be delivered. Teams may discuss alternative professionals to implement activities if appropriate, may connect with surrounding communities to cooperatively plan, may use tele-practice, if appropriate or consult with the DCYF.

Links

OSPI ESA Certification www.k12.wa.us/certification/ESAMain
OSPI Teacher Certification www.k12.wa.us/certification/TeacherMain
DOH www.doh.wa.gov/LicensesPermitsCertficates